

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
13768.198.1

In Re Application Of: **Mark Lucovsky, et al.**

FEB 04 2002  
PATENT & TRADEMARK OFFICE  
U.S. PTO  
JC675 104003750  
10/22/01

Serial No.	Filing Date	Examiner	Group Art Unit
Not Yet Assigned	October 22, 2001	Not Yet Assigned	Not Yet Assigned

Title: **IDENTITY-CENTRIC DATA ACCESS**

Address to:  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
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*Signature*

Dated: **October 22, 2001**

Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785

CC:

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

(Use several sheets if necessary)

**Docket Number (Optional)**

13768.198.1

**Application Number**

## **Not Yet Assigned**

**Applicant(s)**

Mark Lucovsky, et al.

**Filing Date**

October 22, 2001

## **Group Art Unit**

## Not Yet Assigned

## **U.S. PATENT DOCUMENTS**



## **FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

	1	Simple Object Access Protocol (SOAP) 1.1 W3C Note 08 May 2000 Don Box, DevelopMentor; David Ehnebuske, IBM; Gopal Kakivaya, Microsoft Andrew Layman, Microsoft; Noah Mendelsohn, Lotus Development Corp.; Henrik Frystyk Nielsen, Microsoft Satish Thatte, Microsoft; Dave Winer, UserLand Software, Inc. Pages 1-35

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.